

16558 U.S. PTO  
020504

PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	26333.958
First Inventor	Herve H. Dourlens et al.
Title	BEARING ASSEMBLY AND METHOD
Express Mail Label No.	EV 333439115US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
- ☒ Specification [Total Pages 14]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
- Oath or Declaration [Total Sheets 2]
  - ☐ Newly executed (original or copy)
  - ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☒ Application Data Sheet. See 37 CFR 1.76

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☐ Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies); or
    - ☐ Paper
  - ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☒ Other: check.....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 10/137.767.....

Prior application information:

Examiner Footland, Lenard A.

Art Unit: 3682

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number: 000027683 OR ☐ Correspondence address below

Name	Warren B. Kice (reg. no. 22,732)		
Address	Haynes and Boone, LLP		
	901 Main Street, Suite 3100		
City	Dallas	State	Texas
Country	USA	Zip Code	75202
	Telephone	Fax	214-200-0853

Name (Print/Type)	<u>Warren B. Kice</u>	Registration No. (Attorney/Agent)	<u>22,732</u>
Signature	<u>[Signature]</u>	Date	<u>2/5/04</u>

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 770.00

## Complete if Known

Application Number	Divisional of 10/137,767
Filing Date	February 5, 2004
First Named Inventor	Herve H. Dourlens et al.
Examiner Name	Footland, Lenard A.
Art Unit	3682
Attorney Docket No.	26333.958

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit  
Account  
Number  
Deposit  
Account  
Name

08-1394

Haynes and Boone, LLP

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	770.00
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$ ) 770.00

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
15	-20** = 0	x 18	= 0.00
Independent Claims	2	-3** = 0	x 86 = 0.00
Multiple Dependent		0	= 0.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	0.00
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	0.00
1053 130	1053 130	Non-English specification	0.00
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	0.00
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	0.00
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	0.00
1251 110	2251 55	Extension for reply within first month	0.00
1252 420	2252 210	Extension for reply within second month	0.00
1253 950	2253 475	Extension for reply within third month	0.00
1254 1,480	2254 740	Extension for reply within fourth month	0.00
1255 2,010	2255 1,005	Extension for reply within fifth month	0.00
1401 330	2401 165	Notice of Appeal	0.00
1402 330	2402 165	Filing a brief in support of an appeal	0.00
1403 290	2403 145	Request for oral hearing	0.00
1451 1,510	1451 1,510	Petition to institute a public use proceeding	0.00
1452 110	2452 55	Petition to revive - unavoidable	0.00
1453 1,330	2453 665	Petition to revive - unintentional	0.00
1501 1,330	2501 665	Utility issue fee (or reissue)	0.00
1502 480	2502 240	Design issue fee	0.00
1503 640	2503 320	Plant issue fee	0.00
1460 130	1460 130	Petitions to the Commissioner	0.00
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	0.00
1806 180	1806 180	Submission of Information Disclosure Stmt	0.00
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	0.00
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	0.00
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	0.00
1801 770	2801 385	Request for Continued Examination (RCE)	0.00
1802 900	1802 900	Request for expedited examination of a design application	0.00
		Other fee (specify)	0.00

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 0.00

## SUBMITTED BY

(Complete (if applicable))

Name (Print/Type)	Warren B. Kice	Registration No. (Attorney/Agent)	22,732	Telephone	214-651-5634
Signature		Date	2/5/04		

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D-1209898.1

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Customer No. 000027683

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	§	Examiner: Footland, Lenard A.
Herve H. Dourlens et al.	§	
	§	
Divisional Application of Parent Application	§	Art Unit: 3682
Serial No.: 10/137,767	§	
	§	
Divisional Application Filed: February 5, 2004	§	Confirmation No.: 1768
	§	
Title: BEARING ASSEMBLY AND METHOD	§	

Mail Stop: Patent Application  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**EXPRESS MAIL CERTIFICATE**

Express Mail Number: EV 333439115 US      Date of Deposit: February 5, 2004

I hereby certify that the following papers and fee are attached:

1. Divisional Utility Patent Application Transmittal;
2. Fee Transmittal for FY 2004;
3. Preliminary Amendment;
4. Initial Information Data Sheet;
5. Specification (14 pages);
6. Three (3) sheets of formal figures containing Figs. 1-6;
7. Copy of Inventor's Declaration for Patent Application from parent application (10/137,767);
8. Information Disclosure Statement with PTO Form 1449;
9. Check \$770.00; and
10. Return Postcard.

are being deposited with United States Postal Service "Express Mail Post Office to addressee" to the Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or Printed Name: SANDRA KUBIN

Signature: 

Date: February 5